## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Serial No.:

SASU, Ioan et al.

Atty. Docket No.:

2993-478US

not yet known

Filed:

Title:

HYBRID VANE ISLAND DIFFUSER

SC/ip

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on a PTO/SB/08A/B form is a listing of the documents known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. § 1.56. Copies of the listed documents are also being submitted herewith to comply with the provisions of 37 C.F.R. § 1.98.

The submission of any document which is not a statutory bar is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a <u>prima facie</u> prior art reference against the claims of the present application.

## Statement of Relevancy

The listed document is being submitted either in compliance with 37 C.F.R. §1.97(b), within three (3) months of the filing date of a national application or of the date of entry of the national stage in an international application; or pursuant to 37 C.F.R. §1.97(e)(1), within three (3) months from the date of an office action or of a search report issued in a foreign counterpart application citing each of the documents contained in the present statement; or pursuant to 37 C.F.R. §1.97 (e)(2), within three (3) months from the first knowledge of each submitted document by any individual designated in C.F.R. §1.56 (c), when each such document was not cited in a communication from a foreign patent office in a counterpart foreign application.

In the case of submissions under 37 C.F.R. §1.97(e)(1) and (2), the undersigned Attorney/Agent of Record hereby certifies that the enclosed references are hereby submitted within three months (1) from the issuance of the foreign action or search report, or (2) from said first knowledge, respectively.

Respectfully submitted,

Date: November 3, 2003

Robert Mitchell

Registration No. 25,007

OGILVY RENAULT 1981 McGill College Avenue #1600 Montreal, Quebec, Canada H3A 2Y3

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Encls. - Form PTO/SB/08A/B + copy of 14 references

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| Substitute f                      | or form 1449PTO |    |                      | Complete if Known      |            |       |  |
|-----------------------------------|-----------------|----|----------------------|------------------------|------------|-------|--|
|                                   |                 |    |                      | Application Number     |            |       |  |
| INFORMATION DISCLOSURE            |                 |    |                      | Filing Date            |            |       |  |
| STATEMENT BY APPLICANT            |                 |    | First Named Inventor | SASU, loan             |            |       |  |
|                                   |                 |    |                      | Art Unit               |            | ****  |  |
| (use as many sheets as necessary) |                 |    |                      | Examiner Name          |            |       |  |
| Sheet                             | 1               | of | 2                    | Attorney Docket Number | 2993-478US | SC/ip |  |

|                       | U.S. PATENT DOCUMENTS |   |                                |  |  |  |  |
|-----------------------|-----------------------|---|--------------------------------|--|--|--|--|
| Examiner<br>Initials* | Cite<br>No.1          | Document Number  Number - Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines Where<br>Relevant Passages or Relevant<br>Figures Appear |  |  |
|                       |                       | US-6,471,475  | Oct. 29, 2002                  | Sasu et al.  |  |  |  |
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|-----------------------|--------------------------|--|--------------------------------|--|--|----|--|--|--|
| Examiner<br>Initials* | Cite<br>No.1             | Foreign Patent Document  Country Code3 – Number4 – Kind Code5 (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant Of Cited Document | Pages, Columns, Lines<br>Where Relevant Passages<br>or Relevant Figures Appear | T⁰ |  |  |  |
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| (use as many sheets as necessary)             |                 |    |   | Examiner Name          |            | · · · · · · · · · · · · · · · · · · · |  |
| Sheet   | 2               | of | 2 | Attorney Docket Number | 2993-478US | SC/ip                                 |  |

| NON PATENT LITERATURE DOCUMENTS |              |  |                |  |  |
|---------------------------------|--------------|--|----------------|--|--|
| Examiner<br>Initials*           | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>2</sup> |  |  |
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<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.